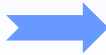


The UK's New Regulatory Framework

Rolande Anderson

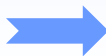
Chief Executive
Radiocommunications Agency
United Kingdom



Ofcom

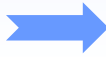
A converged regulator for the converged era

- Combines functions of:
 - Ofcom
 - Independent Television Commission
 - Radiocommunications Agency
 - Radio Authority
 - Broadcasting Standards Commission
- Government proposals in White Paper "A new Future for Communications" (Dec 2000)



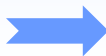
Legislation - Ofcom Act 2002

- Established Ofcom
- Enabled Chairman, Board and senior appointments to be made
- Enabled Ofcom to prepare to take on regulatory functions
- Required existing regulators to facilitate establishment of Ofcom
- Finance and governance issues



Legislation - Communications Act 2003

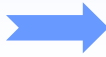
- Transfers functions from existing regulators to Ofcom
- Defines Ofcom's duties
- Establishes new framework for regulation of electronic communications networks and services (implementing EU Directives)
- Enables spectrum trading and "recognised spectrum access"



Communications Act 2003

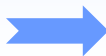
Other spectrum management changes

- **Improvement to the enforcement regime**
(power of arrest for pirates, new fixed penalty regime, new forfeiture procedures)
- **new frequency authorisation plan**
- **greater transparency on licensing processes and exemption wherever possible**
- **new procedures for disputes and appeals**



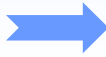
Ofcom's Principal Duty

- a) to further the interests of citizens in relation to communications matters; and
- b) to further the interests of consumers in relevant markets, where appropriate by promoting competition



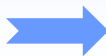
Ofcom's Specific Duties (1)

- Ensuring the optimal use of the electromagnetic spectrum (having regard to the different needs of all existing and potential users of the spectrum)
- Ensuring a wide range of electronic communications services - including high speed data services - are available throughout the UK
- Ensuring a wide range of TV and radio services of high quality and wide appeal



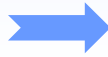
Ofcom's Specific Duties (2)

- Maintaining plurality in the provision of broadcasting
- Applying adequate protection for audiences against offensive or harmful material
- Applying adequate protection for audiences against unfairness or the infringement of privacy



Ofcom Values

- As dynamic, responsive and commercially aware as the best of the companies it regulates
- Committed to incisive thinking, evidence-based analysis and engagement with stakeholders
- Collegiate working structure to foster trust, responsibility, professional development and vigorous and informed internal debate



Ofcom Board



David Currie
Chairman

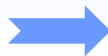
Members

Non-executive

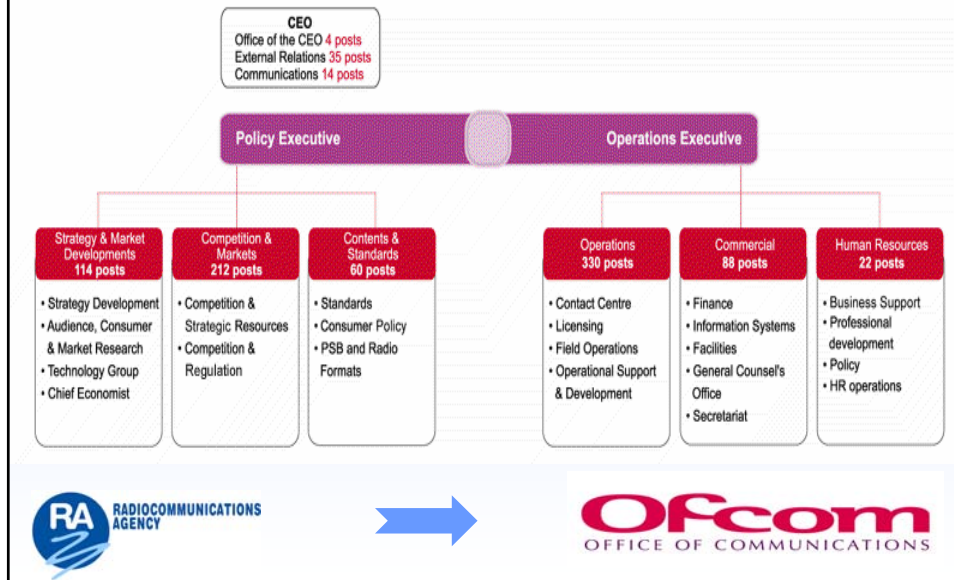
Richard Hooper
Millie Banerjee
David Edmonds
Sara Nathan
Ian Hargreaves

Executive

Stephen Carter
Kip Meek
Ed Richards



Ofcom Executive Organisation



Senior Management (part)



Stephen Carter
Chief Executive



Ed Richards
Senior Partner
Strategy and
Market Developments



Kip Meek
Senior Partner
Competition
and Content

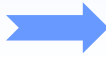


Vic Brashko
Operations Director



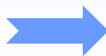
Interface with Government (General)

- Ofcom is independent regulator
- Status is “statutory corporation”
- Chairman and non-executive Board members appointed by Government
- Duties and functions defined by legislation
- Government can give Ofcom “directions” on certain issues (general or specific)



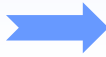
Interface with Government (Spectrum)

- Interface with Government defined through “directions” and Ofcom role in formal interdepartmental committee
- Directions will focus on:
 - defence and national security
 - public safety
 - international relations



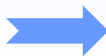
Interface with Government (International)

- Ofcom will represent UK internationally in:
 - ITU (at all levels except Plenipotentiary Conference)
 - CEPT (all except Posts)
 - EU spectrum committees (RSPG and RSC)
 - and other bodies by agreement between Government and Ofcom
- Ofcom will pay ITU and CEPT financial contributions



Proposed Timetable

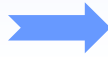
- Regulators move headquarters staff to new Ofcom building in November
- RA moves weekend of 15/16 November
- New address, telephone numbers and e-mail addresses
- Ofcom takes on regulatory responsibilities on 29 December 2003



Work Programme

Key issues for coming months include:

- Public Service Broadcasting Review
- Consultation on Spectrum Trading
- Review of Radio (Sound Broadcasting) Licensing
- Consultation on Ofcom Plan 2004/5 (including how Ofcom will meet its responsibilities and a detailed work programme covering policy development, compliance activity and the supply of services)



For more details

- About Ofcom :
www.ofcom.org.uk
- About spectrum management in the UK:
www.radio.gov.uk

